

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
VALTX.002AAPPLICATION NO.  
10/699,589INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Jonathan Kagan et al.FILING DATE  
October 31, 2003GROUP  
3762

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>[Signature]</i>	1.	2004/0039452 A1	02/2004	Bessler			
	2.	2004/0082963 A1	04/2004	Gannoe et al.			
	3.	2004/0087977 A1	05/2004	Nolan et al.			
	4.	2004/0089313 A1	05/2004	Uitley et al.			
<i>[Signature]</i>	5.	2004/0107004 A1	06/2004	Levine et al.			
	6.	2004/0117031 A1	06/2004	Stack et al.			

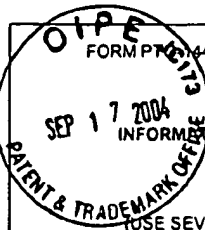
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
<i>[Signature]</i>	7.	Antireflux operations at flexible endoscopy using endoluminal stitching techniques: an experimental study, Sriharan S. Kadiramanathan et al., <u>Gastrointestinal Endoscopy</u> , Volume 44, No. 2, 1995 pp. 133-143
	8.	Endoscopic suturing, C. Paul Swain MD, <u>Balliere's Clinical Gastroenterology</u> , Vol. 13, No. 1. pp 97-108, 1999
	9.	Progression rate of self-propelled feeding tubes in critically ill patients, Mette M. Berger et al., <u>Intensive Care Med</u> 29 Oct. 2002, pp. 1768-1774
	10.	Iatrogenic Intussusception: a Complication of Long Intestinal Tubes, Patricia Redmond, M.D., et al., <u>American Journal of Gastroenterology</u> , Vol. 77, No. 1, 1982, pp. 39-42
	11.	Design and Testing of a New, Small Diameter, Single Stitch Endoscopic Sewing Machine, C.P. Swain et al., <u>Abstracts Submitted to A/S/G/E/</u> 1990, Vol. 36, No. 2, 1990, pp. 213, 214
	12.	Endoscopic Suturing of a Novel Gastroesophageal Antireflux Device (GARD) A Preliminary Report, N.J. Godin et al., <u>Gastrointestinal Endoscopy</u> , Abstract, Vol. 43, No. 4, 1996
	13.	An endoscopic stapling device: the development of new flexible endoscopically controlled device for placing multiple transmural staples in gastrointestinal tissue, C. Paul Swain, MD et al., <u>Gastrointestinal Endoscopy</u> , Vol. 35, No. 4, 1989 pp 338-339
	14.	An endoscopically deliverable tissue-transfixing device for securing biosensors in the gastrointestinal tract, C. Paul Swain, MD et al. <u>Gastrointestinal Endoscopy</u> , 1994
	15.	Development of a gastropasty with variable diameter. Experimental study using artificial sphincters, M. Merlini et al., 1992 Abstract
	16.	Synthetic Biodegradable Polymers as Medical Devices, John C. Middleton et al., <u>Medical Plastics and Biomaterials Magazine MPS Article Index</u> , March 1998
	17.	Experimental study on in situ tissue engineering of the stomach by an acellular collagen sponge scaffold graft, Hori Y. Nakamura et al., Abstract, May 2001
	18.	Repair of Full-Thickness Defects in Alimentary Tract Wall with Patches of Expanded Polytetrafluoroethylene, Daniel S. Oh, MD et al., <u>Annals of Surgery</u> 2002; 235:708-712
	19.	Stents in the small intestine, Singh S, Gagneja HK, Abstract, Oct. 2002
<i>[Signature]</i>	20.	Endoscopic vertical band gastropasty with an endoscopic sewing machine, Amjad N. Awan MD et al., <u>Gastrointestinal Endoscopy</u> , Vol. 55, No. 2, 2002, pp. 254-256
<i>[Signature]</i>	21.	A through-the-scope device of suturing and tissue approximation under EUS control, Annette Fritscher-Ravens, MD, et al., <u>Gastrointestinal Endoscopy</u> , Vol. 56, No. 5, 2002, pp. 737-742

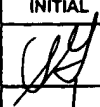
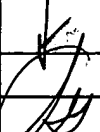
EXAMINER

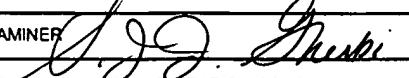
DATE CONSIDERED

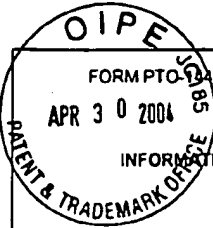
12/9/04

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

 FORM PT 449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. VALTX.002A	APPLICATION NO. 10/699,589
	APPLICANT Jonathan Kagan et al.	
	FILING DATE October 31, 2003	GROUP 3762

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	22. <i>Evaluation of porcine-derived small intestine submucosa as a biodegradable graft for gastrointestinal healing</i> , SG del la Fuente et al., Abstract, J. Gastrointest Surg Jan. 2003
	23. <i>Bard EndoCinch: the device, the technique and pre-clinical studies</i> , Paul Swain, M.D. et al., <u>Gastrointestinal Endoscopy Clinics of North America</u> , 13, 2003 pp 75-88
	24. <i>Endoscopic suturing for gastroesophageal reflux disease: clinical outcome with the Bard Endocinch</i> , Richard I. Rothstein, MD et al., <u>Gastrointestinal Endoscopy Clinics of North America</u> , 13 (2003) pp. 89-101
	25. <i>Wilson-Cook sewing device: the device, technique, and preclinical studies</i> , Michael Rosen MD, et al., <u>Gastrointestinal Endoscopy Clinics of North America</u> , 13 (2003) pp 103-108
	26. <i>Endoscopic full-thickness plication: the device, technique, pre-clinical and early clinical experience</i> , Ram Chuttani, MD, <u>Gastrointestinal Endoscopy Clinics of North America</u> , 13 (2003) pp 109-116
	27. <i>Microvase gastric stapler: the device, technique, and preclinical results</i> , Tom R. De Meester MD, <u>Gastrointestinal Endoscopy Clinics of North America</u> , 13 (2003) pp 117-133
	28. <i>Endoscopic Gastropexy and Crural Repair for Gastro-Esophageal Reflux: Transgastric Surgery Under Endoscopic Ultrasound Control II</i> , Anette Fritscher-Ravens et al. <u>Digestive Disease Week 2003 Abstract</u>
	29. <i>Endoscopic suturing for treatment of GERD</i> , m. Brian Fennerty, MD, <u>Gastrointestinal Endoscopy</u> , Vol. 57, No. 3, 2003 pp 390-395
	30. <i>Transgastric gastropexy and hiatal hernia repair for GERD under EUS control: a porcine model</i> , Annette Fritscher-Ravens, MD et al., <u>Gastrointestinal Endoscopy</u> , Vol. 59, No. 1, 2004, pp 89-95
	31. <i>Effect of Duodenal-Jejunal Exclusion of a Non-obese Animal Model of Type 2 Diabetes</i> , Francesco Rubino, MD et al., <u>Annals of Surgery</u> , Vol. 239, No. 1, January 2004, pp.
	32. <i>The LAP-BAND Solution</i> , BioEnterics Corporation, Brochure <a href="http://www.bioenterics.com/">http://www.bioenterics.com/</a>
	33. <i>Successful Uses in Approximation Ligation &amp; Fixation using the QUIK-STITCH, ENDOSCOPIC SUTURING SYSTEM</i> , PARÉ Surgical, Inc. Brochure 2001
	34. <i>Obesity Treatment</i> , Medical Innovation Developpement, Brochure
	35. <i>The Remote Controlle Sedish Band, The method of choice in modern treatment of morbid obesity</i> , OBTECH MEDICAL AG, Brochure
	36. <i>The Bard EndoCinch Procedure</i> , Introducing Endoscopic Technology for the Treatment of GERD
	37. <i>Microvase WALLSTENT® Colonic and Duodenal Endoprosthesis</i> , Boston Scientific website, <a href="http://www.bostonscientific.com">www.bostonscientific.com</a> , Sept. 20, 2002
	38. <i>COOK® Wilson-Cook Medical GI Endoscopy</i> , Wilson Cook: Biliary/Pancreatic Stents, <a href="http://www.cookgroup.com">www.cookgroup.com</a> , Sept. 20, 2002
	39. <i>ROSS.COM, Abbott Laboratories Online, Product Handbook, T-Fastener Set</i>
	40. <i>T=Anchor Introducer Gun™ Details</i> , Moss™ Tubes Brochure
	41. <i>Bioabsorbable Polymers</i> , William B. Gleason, <u>University of Minnesota</u> , 1998
	42. <i>Cope Gastrointestinal Suture Anchor Set</i> , <a href="http://www.cookgroup.com">www.cookgroup.com</a> , Cook Diagnostic and Interventional Products Advertisement 2000
	43. <i>LSI Solutions®, SEW-RIGHT® SR 5</i> , Advertisement received at ASBS Conference 2002
	44. <i>SEW-RIGHT® SR 5™ &amp; SR 10™, Ti-KNOT® TK 5™</i> Advertisement received at ASBS Conference 2002

EXAMINER 	DATE CONSIDERED 12/9/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

 <p>FORM PTO-1349 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>	ATTY. DOCKET NO. VALTX.002A	APPLICATION NO. 10/699,589
	APPLICANT Jonathan Kagan et al.	
	FILING DATE October 31, 2003	GROUP 3762

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>[Signature]</i>	1	4,006,747	02/1977	Kronenthal et al.			
	2	4,134,405	01/1979	Smit			
	3	4,235,238	11/1980	Ogiu et al.			
	4	4,315,509	02/1982	Smit			
	5	4,501,264	02/1985	Rockey			
	6	5,085,661	02/1992	Moss			
	7	5,306,300	04/1994	Berry			
	8	5,820,584	10/1998	Crabb			
	9	5,861,036	01/1999	Godin			
	10	6,254,642 B1	07/2001	Taylor			
	11	6,264,700 B1	07/2001	Kilcoyne et al.			
	12	6,387,104 B1	05/2002	Pugsley, Jr. et al.			
	13	6,447,533 B1	09/2002	Adams			
	14	6,595,911 B2	07/2003	LoVuolo			
	15	2001/0020189 A1	09/2001	Taylor			
	16	2001/0020190 A1	09/2001	Taylor			
	17	2002/0082621 A1	06/2002	Schurr et al.			
	18	2002/0183768 A1	12/2002	Deem et al.			
	19	2002/0188354 A1	12/2002	Peghini			
	20	2003/0040804 A1	02/2003	Stack et al.			
	21	2003/0040808 A1	02/2003	Stack et al.			
	22	2003/0065359 A1	04/2003	Weller et al.			
	23	2003/0093117 A1	05/2003	Saadat			
	24	2003/0109892 A1	06/2003	Deem et al.			
	25	2003/0109931 A1	06/2003	Geitz			
	26	2003/0199989 A1	10/2003	Stack et al.			
<i>[Signature]</i>	27	2003/0199990 A1	10/2003	Stack et al.			
	28	2003/0199991 A1	10/2003	Stack et al.			

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 12/9/04
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. VALTX.002A	APPLICATION NO. 10/699,589
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		
APPLICANT Jonathan Kagan et al.		
FILING DATE October 31, 2003	GROUP 3762	

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	29	WO 80/00007	01/1980	WIPO				
	30	WO 03/086246 A1	10/2003	WIPO				
	31	WO 03/086247 A1	10/2003	WIPO				
	32	WO 03/094785 A1	11/2003	WIPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	33	<i>Antireflux operations at flexible endoscopy using endoluminal stitching techniques: an experimental study</i> , Sriharan S. Kadiramanathan et al., <i>Gastrointestinal Endoscopy</i> , Volume 44, No. 2, 1995 pp. 133-143
	34	<i>Endoscopic suturing</i> , C. Paul Swain MD, <i>Bailliere's Clinical Gastroenterology</i> , Vol. 13, No. 1. pp 97-108, 1999

EXAMINER	DATE CONSIDERED 12/9/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	